

PRSA (Personal Retirement Savings Account) ...

Investment Questionnaire

To be signed by the Product Investment Manager

The safeguarding of PRSA assets is essential in the proper governance of the PRSA. As the Product Investment Manager you play an important role in that governance. For the purposes of the Pensions Act we will consider you as the Investment Manager for the assets outlined below.

There are very strict rules imposed by the Pensions Act on charges than can be taken from a PRSA. PRSA product charges are approved in advance and cannot be altered. Charges for the assets held by a PRSA are also restricted and the PRSA Provider must ensure that all charges on third party investments adhere to the regulations.

Please tick one of the following and proceed to the appropriate section and complete the questions.

The PRSA is investing in:


- | | |
|------------------------------|------------------|
| 1: Stockbroking Account | ✓ (go to page 2) |
| 2: Structured Retail Product | ✓ (go to page 3) |
| 3: Other | ✓ (go to page 4) |

Warning: Please note that the provision of this service provided by Wealth Options Ltd does not require licensing, authorisation, or registration with the Central Bank and, as a result, it is not covered by the Central Bank's requirements designed to protect consumers or by a statutory compensation scheme.

1. Stockbroking Accounts ...

Stockbroking Details			
Name of Stockbroker:	<input type="text"/>		
Address of Stockbroker:	<input type="text"/>		
Contact Name in Stockbroker:	<input type="text"/>	Telephone Number:	<input type="text"/>
Regulatory Status and Jurisdiction:	<input type="text"/>		

Information to be confirmed by the Stockbroker (tick where appropriate)			
Are you able to open the account in the name of the PRSA?	Yes	/	No
Will you allow CFDs?	Yes	/	No
If yes will this be restricted to the value of the account?	Yes	/	No
Will you provide Wealth Options with explicit charging structure in advance of investing?	Yes	/	No
Will you ensure the all funds from the account are only released to the Wealth Options PRSA?	Yes	/	No
Will you take instruction from Wealth Options to close the account upon request?	Yes	/	No
Will you ensure that no funds are paid directly to the PRSA Contributor?	Yes	/	No

Declaration		
I/We confirm that		
1: No fixed charges apply to any of the investments above		
2: No hurdle/performance fees are allowed		
3: Quarterly valuations will be provided to Wealth Options and more frequently upon request.		
4: Only disclosed fees can be deducted as agreed with Wealth Options.		
Signature Required	Signed  <input type="text"/>	Date: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Capacity <input type="text"/>	

2. Structured Retail Product ...

Structured Retail Product Details

Name of Product:	<input type="text"/>		
Product Provider:	<input type="text"/>		
Contact Name in Product Provider:	<input type="text"/>	Telephone Number:	<input type="text"/>
Address of Product Provider:	<input type="text"/>		
Regulatory Status and Jurisdiction:	<input type="text"/>		
Term of Product	<input type="text"/>		
Detailed Charges:	<input type="text"/>		

Please submit all investment documentation

Declaration

I/We confirm that

- 1: No fixed charges apply to the Structured Retail Product.
- 2: No hurdle/performance fees are allowed.
- 3: Quarterly valuations will be provided to Wealth Options and more frequently upon request.
- 4: Only disclosed fees can be deducted as agreed with Wealth Options.
5. We confirm that no funds will be paid directly to the PRSA Contributor.

Signature Required

Signed




Date: / /

Capacity

3. Other ...

Details			
Type of Product:	<input type="text"/>		
Product Provider:	<input type="text"/>		
Contact Name in Product Provider:	<input type="text"/>	Telephone Number:	<input type="text"/>
Address of Product Provider:	<input type="text"/>		
Regulatory Status and Jurisdiction:	<input type="text"/>		
Term of Product	<input type="text"/>		
Detailed Charges:	<input type="text"/>		

Please submit all investment documentation

Declaration		
I/We confirm that		
1: No fixed/flat charges apply to the Investment		
2: No hurdle/performance fees are allowed		
3: Quarterly valuations will be provided to Wealth Options and more frequently upon request.		
4: Only disclosed fees can be deducted as agreed with Wealth Options.		
5: We confirm that no funds will be paid directly to the PRSA Contributor.		
Signature Required	Signed  <input type="text"/>	Date: <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Capacity <input type="text"/>	