

# Anti-Money Laundering and Counter Terrorist Financing Documentation



Wealth Options Limited (“Wealth Options”) is required to comply with The Criminal Justice (Money Laundering and Terrorist Financing) Act 2010, as amended, and as a result must carry out anti money laundering and counter terrorist financing checks. In order to do this Wealth Options must as a minimum, obtain the following information from any investors in its products.

**1 Proof of Identity + 2 Proofs of Address + 1 Proof of PPS Number**

The Following sets out the documents which are accepted by Wealth Options:

## A. Individuals

### Proof of Identity

An independently certified copy of one of the following:

- i. A valid passport or national i.d. card
- ii. A current EU driving licence
- iii. A public services card issued by the Irish Department of Social Welfare

### Proof of Address

Independently certified copies of 2 of the following which must be dated strictly within 6 months of your application:

- i. A bank statement\*
- ii. A utility bill (gas, electricity, mobile phone, telephone)
- iii. A balancing statement from the Revenue

Where a bank statement is provided as one proof of address Wealth Options will then accept the following:

- iv. Motor or home insurance policy (quotes are not acceptable)

### PPS Number (“PPSN”)

Certified copy of one of the following:

- i. Public Services / Social Services Card
- ii. Drugs Payment Scheme Card/Medical/GP visit card/
- iii. EHIC (European Health Insurance Card)
- iv. P60, P45
- v. Tax assessment
- vi. PAYE Notice of Tax Credits

## B. Corporates

- i. Certified copies of the above information required for individuals relating to two directors of the incorporated entity
- ii. Where the corporate has a single director only, then the above information is required for that one individual
- iii. Certified incorporation documents to include a certificate of Incorporation and Constitution

## C. Pensions

- i. Certified copies of the above information required for the beneficial owner of the pension
- ii. Certified copies of the above information relating to two trustees of the trust.
- iii. Certified letter of approval from the Revenue Commissioners
- iv. Certified Trust Deed

*\*Bank statement should not be understood to mean any other correspondence from a financial institution other than a bank statement*

## D. Various

Different structures not referenced above, will have differing requirements. Below is a summary of what may be required in the following circumstances:

- i. Legal person/arrangement: Dependent on the ownership and control structure
- ii. Partnership: Two individuals who owns 25% or more of the capital, profit or voting rights directly or indirectly
- iii. Trust: The trustee that administers the fund and  
The individual that is entitled or has a vested interest in at least 25% of the capital of the trust property
- iv. Estate of a deceased person: The executors or administrators
- v. Other: An individual who benefits from at least 25% of the property

## Who can certify

Documents should be certified by one of the following:

- i. Garda Siochana
- ii. Practicing chartered and certified accountants
- iii. Notary public
- iv. Embassy or consular staff
- v. Regulated financial or credit institutions
- vi. Commissioner for oaths

Self certification of documentation will not be accepted.

## Minimum Requirements

The above sets out the minimum requirements that Wealth Options will accept. Wealth Options reserves the right to request additional information in order to meet its obligations. The requirements for the many different entity types is not listed here.

## Ongoing Monitoring

Wealth Options reserves the right to request updated documentation from time to time in order to meet its obligations.

## Quality of documentation

Wealth Options requires all copies of documentation to be clear and legible. Poor quality documentation cannot be accepted.