

ARF/ AMRF Investment Instruction Form

Client Details

Name of ARF/ AMRF

Investment Instruction

Fund/ Investment Name

Amount to be invested*

Please supply all marketing and compliance documentation to Wealth Options.

*The QFM will ensure that there is sufficient liquidity in the ARF for revenue distribution and fees.

Declaration

1. I wish for my QFM to invest in the above mentioned product. I understand that this investment may be made by the ARF Unit Trust and will be subject to the provisions of the Trust Deed as amended. I consent to Wealth Options Ltd. applying for units in the ARF Unit Trust on behalf of my ARF. Wealth Options Ltd. are trustees of the ARF Unit Trust and you may also be investing in a product either produced or promoted by Wealth Options Ltd. There is no conflict of interest here as Wealth Options Ltd. as trustees of the ARF Unit Trust will operate under the instruction of the beneficial owner of the ARF.
2. I hereby consent to the provision of information to the Revenue Commissioners if requested in relation to this investment.
3. I certify that I have received financial advice prior to the making of this investment. I understand that Wealth Options Ltd will not be involved in providing investment advice in relation to investments selected under the ARF named above. I understand that values can go down as well as up. I understand that if there is gearing (lending) involved in an investment there may be a higher degree of risk involved in that investment. All fees in the investment have been explained to me and I am satisfied with the fees.
4. If I decide to exercise my cooling off option on an insured product, my fund will be refunded less the adjustment for any downward movement in the unit price from the date of the investment to policy cancellation date.
5. By signing this form I am indemnifying Wealth Options Limited against any and all costs and claims incurred or suffered arising directly or indirectly out of the signing by Wealth Options Limited of my Investment application and/or making the investment or otherwise in connection with the investment.

Warning: Please note that the provision of this product or service does not require licensing, authorisation, or registration with the Central Bank and, as a result, it is not covered by the Central Bank's requirements designed to protect consumers or by a statutory compensation scheme.

Signature Required

Signature of
A(M)RF Beneficial Owner



Date

Data Protection Acts

The information that you have provided will be treated as confidential and retained by Wealth Options Limited ("Wealth Options") for the purposes of financial services or as required by law. You have a right to request a copy of the information we hold about you for which we may charge a small fee and to have any inaccuracies in your information corrected. Wealth Options may use the information you have provided for the purposes of providing you with additional information relating to the goods and services of Wealth Options, its associated companies and/ or third parties. Wealth Options may share information with its associated and affiliated companies and/ or carefully selected third parties, to enable those associated and affiliated companies and/ or carefully selected third parties, to contact you directly in relation to their goods and services. If you do not agree to Wealth Options use of the information for such purposes, please tick the box provided.

Financial Broker Name:

Contact No:

I confirm that I have met the above named client, explained the product to them and have given them suitable advice.

Financial Broker Signature:

A(M)RF Investment Declaration

Name of ARF:

(the "Scheme")

Name of Qualifying Fund Manager: Wealth Options Ltd. (the "QFM")

Details and nature of proposed investment(s):

(the "Investment(s)")

I hereby declare for the benefit of the QFM that I am aware of, understand and have taken such professional advice as I deem appropriate in connection with the Revenue guidelines and practices for A(M)RFs (the "Guidelines") and their application to the Investment(s), and I confirm and acknowledge that the Investment(s) are or shall be in conformity with and do not or shall not, to the best of my knowledge, contradict the guidelines. I confirm and acknowledge that the Investment(s) is/are being undertaken at my request and direction and agree to release, indemnify and hold harmless the QFM from any and all liability in connection with or arising from the investment(s) being deemed to breach the guidelines. A summary of current guidelines is detailed below for convenience only – your financial broker will need to advise you of any changes affecting the guidelines and updates may also be obtained on the Revenue's website www.revenue.ie.

The investment cannot be:

- 1) A loan to any connected party
- 2) The acquisition of property or other fixed assets from connected parties
- 3) The acquisition of shares, debentures, etc. in any connected party, whether by subscription, bonus issue or other purchase from existing shareholders or by any other means
- 4) The purchase of personal chattels such as works of art, jewellery, vintage cars, yachts etc. (Schemes can invest in choses in action which are not tangible, moveable or visible. Examples are company shares, copyrights, and financial futures)
- 5) An investment in a close company

Where property investments are concerned:

- 1) The vendor must be at arm's length from the ARF
- 2) The purpose of the acquisition is not for disposal or letting to any connected party
- 3) The disposal of the property is on an arm's length basis
- 4) The purchase of holiday homes for personal use is not permitted
- 5) Purchase of overseas property is only permitted where there are appropriate arrangements in place to enable the QFM to maintain control of the asset, to ensure that Revenue rules are complied with
- 6) A transaction which involves the acquisition and development of property with a view to its disposal will not constitute an investment to which the exemption in Section 772(2), Taxes Consolidation Act, 1997, will apply
- 7) All rental payments will be paid into the bank account of the A(M)RF immediately on receipt
- 8) Any work completed on the property must be carried out by an unconnected party

It is further AGREED as follows:

By signing this form I am indemnifying Wealth Options Limited against any and all costs and claims incurred or suffered by the QFM arising directly or indirectly out of the signing by the QFM of the investment application and/or making the investment or otherwise in connection with the investment.

Signed:



Signature Required

Name:

[BLOCK CAPITALS]

Date:

Witnessed by:

Financial Broker